### § 103.310

- (2) The State government and political subdivisions thereof;
- (3) Local public safety, crisis management and emergency response agencies;
- (4) Law enforcement and security organizations;
- (5) Maritime industry, including labor;
- (6) Other port stakeholders having a special competence in maritime security; and
- (7) Port stakeholders affected by security practices and policies.
- (b) At least seven of the members must each have 5 or more years of experience related to maritime or port security operations.
- (c) Members appointed under this section serve for a term of not more than five years. In appointing members, the FMSC should consider the skills required by §103.410 of this part. With the exception of credentialed Federal, state and local officials, all AMS Committee members shall have a name-based terrorist check from TSA, hold a TWIC, or have passed a comparable security threat assessment, if they need access to SSI as determined by the FMSC.

 $[USCG-2003-14733,\,68\ FR\ 39290,\,July\ 1,\,2003,\,as$  amended at 68 FR 60482, Oct. 22, 2003; USCG-2006-24196, 72 FR 3579, Jan. 25, 2007]

#### § 103.310 Responsibilities of the Area Maritime Security (AMS) Committee.

- (a) The AMS Committee shall:
- (1) Identify critical port infrastructure and operations;
- (2) Identify risks (threats, vulnerabilities, and consequences);
- (3) Determine mitigation strategies and implementation methods;
- (4) Develop and describe the process to continually evaluate overall port security by considering consequences and vulnerabilities, how they may change over time, and what additional mitigation strategies can be applied; and
- (5) Provide advice to, and assist the COTP in, developing the AMS Plan.
- (b) The AMS Committee shall also serve as a link for communicating threats and changes in MARSEC Levels, and disseminating appropriate security information to port stakeholders.

# Subpart D—Area Maritime Security (AMS) Assessment

### §103.400 General.

- (a) The Area Maritime Security (AMS) Committee will ensure that a risk based AMS Assessment, is completed and meets the requirements specified in \$103.310 of this part and \$101.510 of this subchapter, incorporating the elements specified in \$103.405 of this part.
- (b) AMS Assessments can be completed by the COTP, the AMS Committee, a Coast Guard Port Security Assessment team, or by another third party approved by the AMS Committee.
- (c) Upon completion of each AMS Assessment, a written report, which is designated sensitive security information, must be prepared consisting of:
- (1) A summary of how the AMS Assessment was conducted;
- (2) A description of each vulnerability and consequences found during the AMS Assessment; and
- (3) A description of risk reduction strategies that could be used to ensure continued operation at an acceptable risk level.

### § 103.405 Elements of the Area Maritime Security (AMS) Assessment.

- (a) The AMS Assessment must include the following elements:
- (1) Identification of the critical Marine Transportation System infrastructure and operations in the port:
- (2) Threat assessment that identifies and evaluates each potential threat on the basis of various factors, including capability and intention;
- (3) Consequence and vulnerability assessment for each target/scenario combination; and
- (4) A determination of the required security measures for the three MARSEC Levels.
- (b) In order to meet the elements listed in paragraph (a) of this section, an AMS Assessment should consider each of the following:
- (1) Physical security of infrastructure and operations at the port;
- (2) Structures considered critical for the continued operation of the port;

- (3) Existing security systems and equipment available to protect maritime personnel;
  - (4) Procedural policies;
- (5) Radio and telecommunication systems, including computer systems and networks;
- (6) Relevant transportation infrastructure;
  - (7) Utilities;
- (8) Security resources and capabilities; and
- (9) Other areas that may, if damaged, pose a risk to people, infrastructure, or operations within the port.
- (c) AMS Assessments are sensitive security information and must be protected in accordance with 49 CFR part 1520.

#### § 103.410 Persons involved in the Area Maritime Security (AMS) Assessment.

The persons carrying out the AMS Assessment must have the appropriate skills to evaluate the security of the port in accordance with this part. This includes being able to draw upon expert assistance in relation to:

- (a) Knowledge of current security threats and patterns;
- (b) Recognition and detection of dangerous substances, and devices;
- (c) Recognition, on a non-discriminatory basis, of characteristics and behavioral patterns of persons who are likely to threaten security;
- (d) Techniques used to circumvent security measures;
- (e) Methods used to cause a transportation security incident;
- (f) Effects of dangerous substances and devices on structures and port services;
  - (g) Port security requirements;
  - (h) Port business practices;
- (i) Contingency planning, emergency preparedness, and response;
  - (j) Physical security measures;
- (k) Radio and telecommunications systems, including computer systems and networks:
- (l) Transportation and civil engineering;
  - (m) Vessel and port operations; and
- (n) Knowledge of the impact, including cost impacts of implementing security measures on port operations.

## Subpart E—Area Maritime Security (AMS) Plan

### §103.500 General.

- (a) The Area Maritime Security (AMS) Plan is developed by the COTP, in consultation with the AMS Committee, and is based on an AMS Assessment that meets the provisions of subpart D of this part. The AMS Plan must be consistent with the National Maritime Transportation Security Plan and the National Transportation Security Plan.
- (b) Portions of the AMS Plan may contain sensitive security information, and those portions must be marked as such and protected in accordance with 49 CFR part 1520.

[USCG-2003-14733, 68 FR 39290, July 1, 2003, as amended at 68 FR 60482, Oct. 22, 2003]

### § 103.505 Elements of the Area Maritime Security (AMS) Plan.

The AMS Plan should address the following elements, as applicable:

- (a) Details of both operational and physical measures that are in place in the port at MARSEC Level 1;
- (b) Details of the additional security measures that enable the port to progress, without delay, to MARSEC Level 2 and, when necessary, to MARSEC Level 3;
- (c) Details of the security incident command-and-response structure;
- (d) Details for regular audit of the AMS Plan, and for its amendment in response to experience or changing circumstances;
- (e) Measures to prevent the introduction of dangerous substances and devices into designated restricted areas within the port;
- $\begin{array}{c} \hbox{(f) Measures to prevent unauthorized} \\ \hbox{access to designated restricted areas} \\ \hbox{within the port (e.g., TWIC);} \end{array}$
- (g) Procedures and expected timeframes for responding to security threats or breaches of security, including provisions for maintaining infrastructure and operations in the port;
- (h) Procedures for responding to any security instructions the Coast Guard announces at MARSEC Level 3;
- (i) Procedures for evacuation within the port in case of security threats or breaches of security;